



2852

#5  
12-23-02  
CWAttorney Docket No. CSSK-020CP3  
64831-029

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Allan N. Weiss  
Serial No. 10/087,339  
Filing Date: March 1, 2002  
Title: PROXY ASSET SYSTEM AND METHOD  
Art Unit 2852

RECEIVED  
NOV 1 2 2002  
GROUP 3600

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(1))

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on the date indicated below.  
Date: 10-24-02

*Diane Valeta*  
Diane Valeta

Assistant Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Applicant and his attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. This Statement is being filed more than three months from the filing date of the application, but before the receipt of any Official Action from the Patent and Trademark Office.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicant understands that the Examiner will make an independent evaluation of the cited publications.

No additional costs are believed to be due in connection with the filing of this disclosure. However, please charge any necessary fees to our Deposit Account No. 50-1133.

Respectfully submitted,

*David M. Mello*

David M. Mello, Reg. No. 43,799  
McDermott, Will & Emery  
28 State Street  
Boston, MA 02109  
Tel (617) 535-4037  
Fax (617) 535-3800

Date: October 24, 2002  
BST99 1318125-1.064831.0029

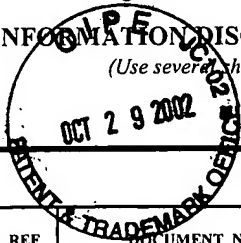
TO 2800 MAIL ROOM

OCT 30 2002

RECEIVED

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

CSSK-20CP

Application Number

10/087,339

Applicant(s)

Weiss

Filing Date

March 1, 2002

Group Art Unit

2852

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,963,923	10/05/99	Garber			
		6,249,775	06/19/01	Freeman et al.			

RECEIVED  
NOV 12 2002  
GROUP 3600

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 98/13778	02APR98	WO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Weisul, Kimberly, Datek exposes best bids, offers on all stocks, Investment Dealer's Digest, Vol. 63, Issue 32, August 11, 1997, pp. 10

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.